Government Business Council

Planning for a Robust Federal Workforce

A Candid Survey of Federal Leaders

May 2014

Underwritten by:

monster[®]

Government Solutions



Purpose

As the federal government faces personnel cuts, skills gaps, and increasing budget constraints, it is more important than ever that agencies develop a long-term strategy for workforce planning. Under these pressures, federal agencies may benefit from using competency data to assist with strategic workforce planning and simultaneously encourage individual career development. To better understand how agencies are currently leveraging competencies to improve the effectiveness of executive branch agencies, managers, and individuals, Government Business Council (GBC) and Monster Government Solutions undertook an in-depth research study.

Methodology

To assess the perceptions, attitudes, and experiences of federal leaders regarding employee competencies and workforce planning, GBC deployed a survey to a sample of *Government Executive, Nextgov*, and *Defense One* online and print subscribers in March 2014. The pool of 1,121 respondents includes those of GS-11 through 15 grade levels in over 35 departments and agencies.

In addition, to better understand how agencies are using information on competencies to assist workforce planning, GBC asked some survey questions to federal managers only. **Managers** are respondents that indicated they oversee one or more direct reports. Of these 513 managers, over three-fourths are GS-13 or above.

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Executive Summary

Executive Summary

Managers rely on informal and incomplete information when conducting workforce planning

Significant numbers of federal managers report that their agencies lack standard policies and procedures related to workforce planning. Seventy percent of managers rely on informal methods—personal notes, whiteboards, blank spreadsheets—and 43 percent use template documents or spreadsheets when conducting workforce planning. Only 22 percent of managers leverage organizational-wide software or tools. Of all common workforce planning activities, managers are are least confident in their ability to develop workforce resourcing strategies and align them to other organizational plans or individual employee development.

Gaps in competency data may be hindering workforce planning and managerial effectiveness

Competencies, defined by OPM as "measurable patterns of knowledge, skills, abilities, behaviors, and other characteristics that an individual needs to perform work roles or occupational functions successfully," are an integral part of workforce planning. However, agencies lack formalized processes for collecting and updating data on employee competencies. Thirty-four percent of respondents report that their agencies do not gather data on competencies at all, while another 24 percent indicate that competency data is only collected through informal methods. A full 37 percent say that data on employee competencies is "never" updated.

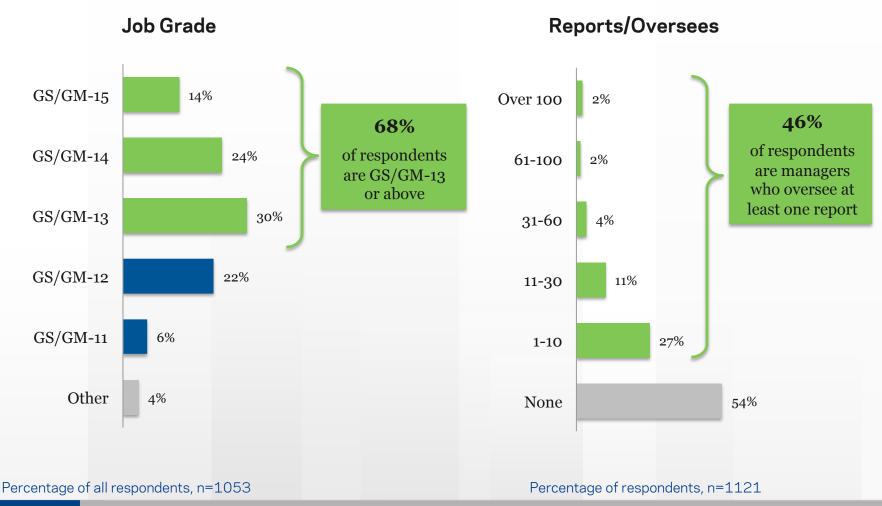
Process improvements and better competency data would most improve workforce planning

Greater information on competencies, along with uniform methodologies and policies, could most help federal managers tasked with workforce planning. Strong majorities of federal managers agree that up-to-date data on competencies could improve their ability to justify hiring additional personnel and assist in difficult staffing decisions.

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Respondent Profile

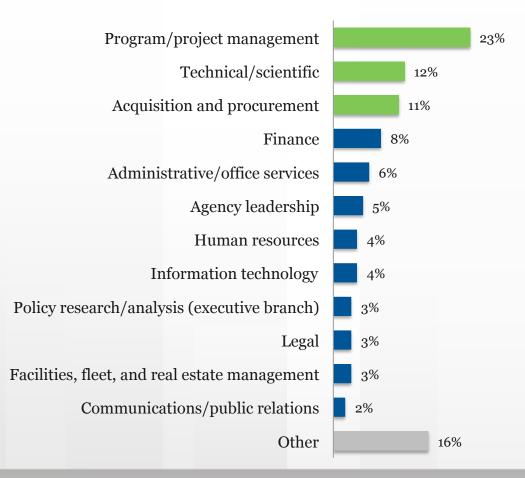
Survey respondents are largely senior federal leaders



Project management, technical fields, and acquisition are most common job functions

Job Function

- Nearly half of respondents work in program/project management, technical/ scientific fields, or acquisition.
- "Other" includes categories such as auditors and investigators, logistics, public health, and safety.



Percentage of all respondents, n=1053

Most Represented Agencies

Department of the Army

Department of the Air Force

Department of Homeland Security

Department of Agriculture

Office of the Secretary of Defense

Department of Veterans Affairs

Department of Health and Human Services

Department of the Interior

Department of Treasury

Department of Transportation

General Services Administration

Department of the Navy

Department of Housing and Urban Development

Environmental Protection Agency

Social Security Administration

Department of Justice

National Aeronautics and Space Administration

Department of Commerce

Department of Energy

Department of Education

Department of Labor

United States Marine Corps

Department of State

Small Business Administration

Office of Personnel Management

Nuclear Regulatory Commission

United States Agency for International Development

Combatant Commands, Department of Defense

Joint Chiefs of Staff, Department of Defense

Congress/Legislative Branch

Executive Office of the President

Government Accountability Office

Central Intelligence Agency

Other independent agency

Agencies listed in order of frequency



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Research Findings

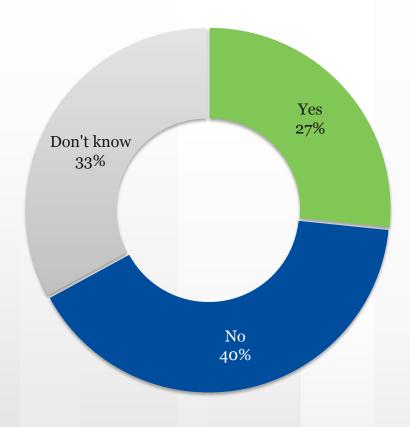
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Current State of Workforce Planning

Agencies currently lack standard workforce planning policies and procedures

Does your organization have standard policies and/or procedures for managers to follow when conducting workforce planning?

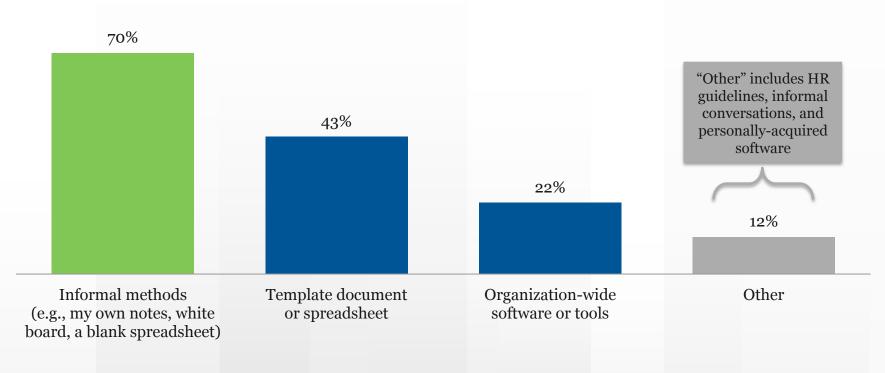
For the purposes of this survey, workforce planning refers to aligning human capital with organizational strategic goals.



Percentage of managers, n=479

Most managers rely on informal methods to conduct workforce planning

Which of the following do you use when conducting workforce planning?



Percentage of managers, n=352; respondents could select more than one answer

Managers feel least confident in developing and integrating workforce resourcing strategies

Confidence in performing common workforce planning activities

Integrate workforce resourcing strategy with other organizational plans and initiatives

Develop a workforce resourcing strategy

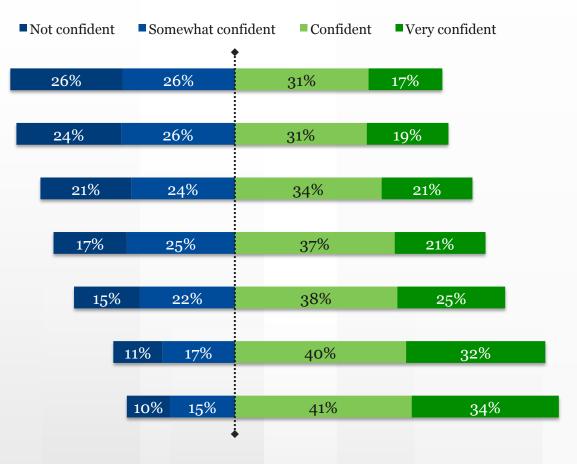
Tie individual employee development to workforce resourcing strategy

Forecast future skills gaps/excesses

Determine future workforce requirements based on strategic goals

Identify current skills gaps/excesses based on mission requirements

Determine existing workforce capabilities



Percentage of managers, n varies 478-482



One survey respondent told us...

I am pretty much left to my own, limited devices to perform these activities since as an organization we lack an overarching framework, methodology, toolkit, and outcome measures to serve as the context in which to perform these activities.

ii.

The Role of Competencies

Understanding competencies is a key component of workforce planning

To understand what information agencies collect and utilize when conducting workforce planning and managing employee development, GBC asked respondents several questions regarding employee competencies.

OPM definition

The United States Office of Personnel Management (OPM) defines a **competency** as "a measurable pattern of knowledge, skills, abilities, behaviors, and other characteristics that an individual needs to perform work roles or occupational functions successfully. Competencies specify the 'how' of performing job tasks, or what the person needs to do the job successfully.

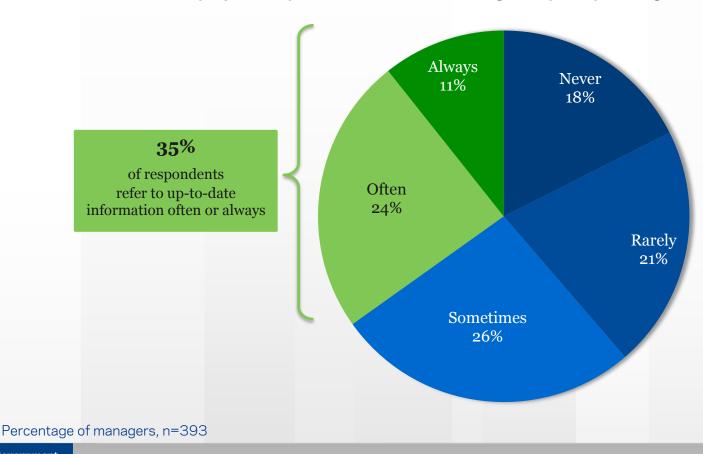
Competencies are used for:

- Assessing and selecting candidates for a job;
- Assessing and managing employee performance;
- · Workforce planning; and
- · Employee training and development."

Source: Office of Personnel Management website

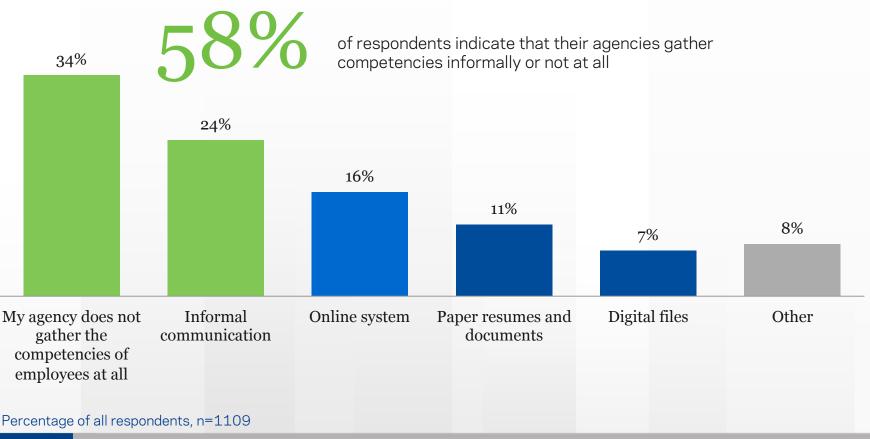
Few managers regularly refer to current data on competencies during workforce planning

How often do you refer to up-to-date information on employee competencies when conducting workforce planning?



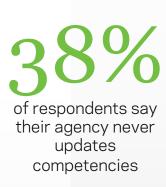
Agencies do not have the data to allow managers to act on competencies

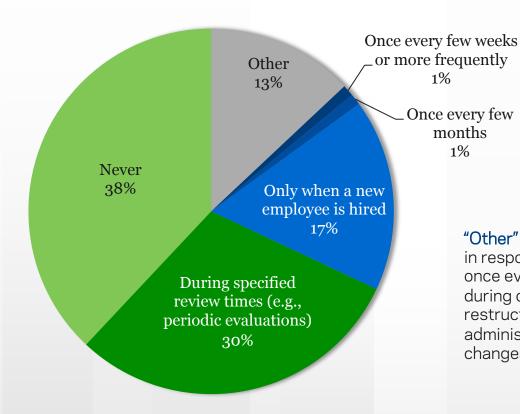
Primary way in which federal agencies gather information on competencies



Agency data is rarely up-to-date

In your organization, which best describes how often information on competencies is updated?

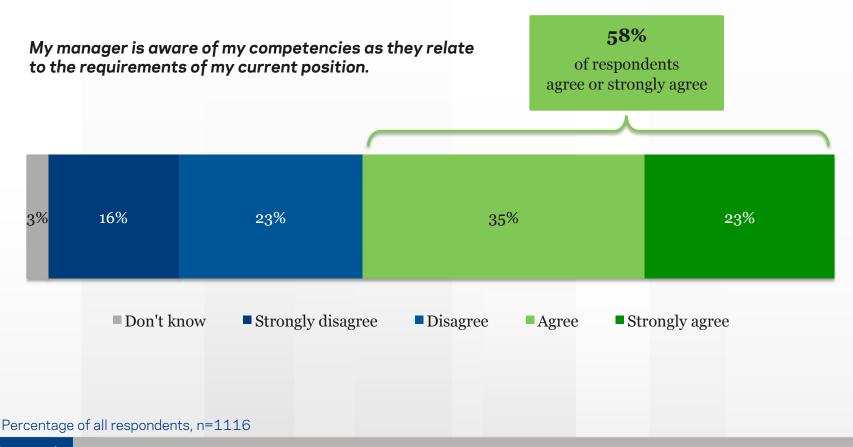




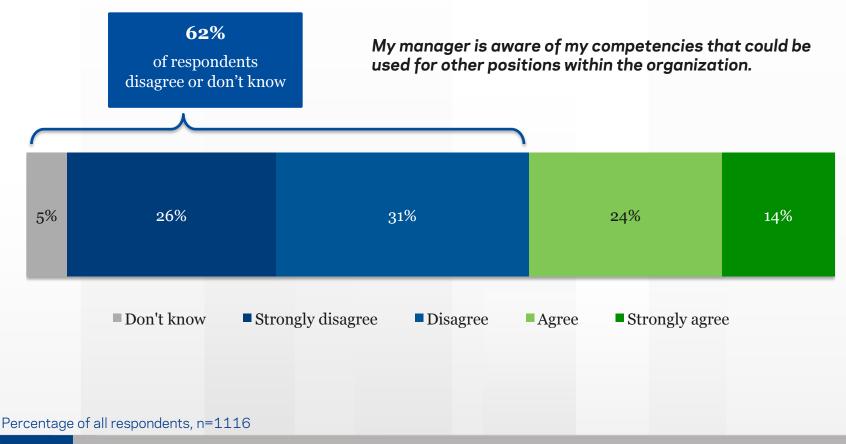
"Other" included writein responses such as once every few years, during organization restructuring, or after administration changes.

Percentage of all respondents, n=1083

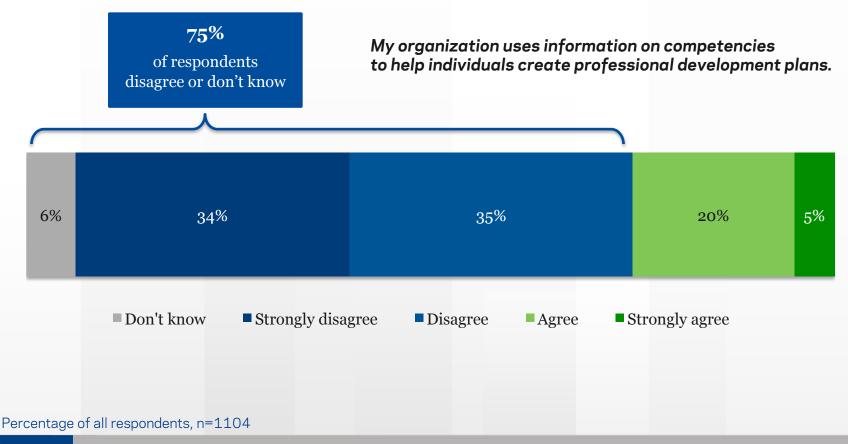
As a result, managers may be aware of employee competencies related to current jobs...



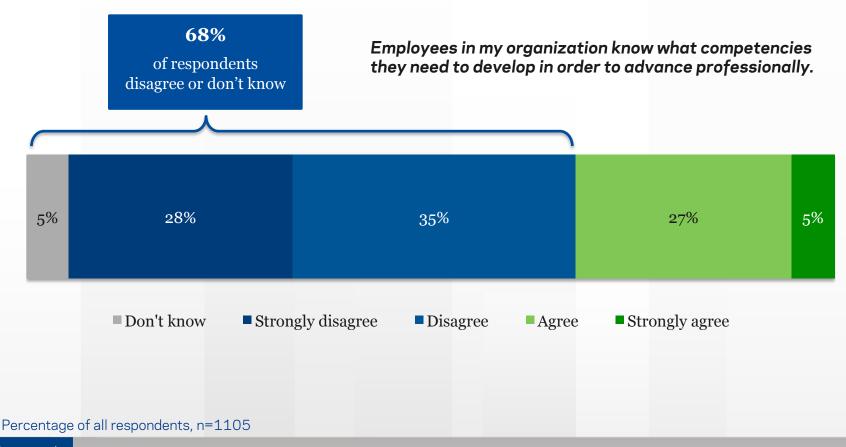
...but are unaware of competencies that could be used elsewhere in the organization



Agencies are not using competencies to help individuals with professional development



Federal employees do not know what competencies to develop in order to advance



One survey respondent told us...

66

My agency does not track individual competencies, skills, or abilities. Supervisors and managers are too busy to really worry about individual employee development. Resourcing is confined to whoever is in the job; individual skills are not heavily weighted in decisions for promotion, hiring, and assignments.

iii.

Empowering Decision Making

There is an opportunity for agencies to more fully utilize competencies

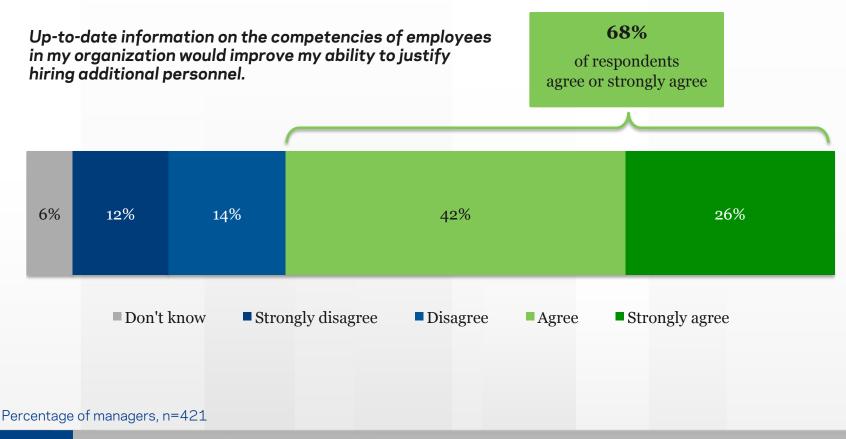
For which of the following activities does your organization utilize competencies?

Despite being the three most commonly selected answers, one-third or fewer of respondents indicate that their agency employs competencies for determining training needs, performance management, or determining hiring needs.

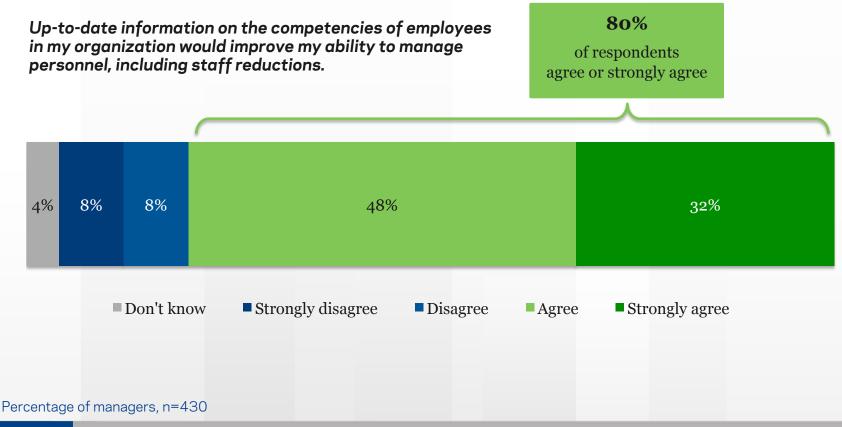


Percentage of managers, n=503; respondents could select more than one answer

Up-to-date information on competencies could help managers justify hiring decisions

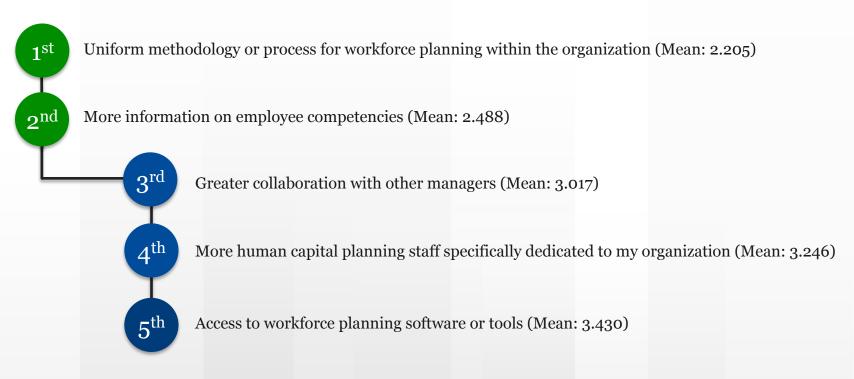


Up-to-date information on competencies could help managers handle staff changes



Uniform process and more data on competencies would most improve workforce planning

What would help managers at your organization the most when conducting workforce planning?



Ranked by mean; respondents are managers, n=448

Out of 465 federal managers...

90%

of respondents say **uniform methodology or process** would help managers in their agency conduct workforce planning, with 36% of managers selecting it as the most helpful choice.

90%

of respondents also say more information on employee competencies would help managers in their agency conduct workforce planning, with 25% of managers selecting it as the most helpful choice.

Percentage of managers, n=465

One survey respondent told us...

More training on evaluating employee competencies and measuring them is needed in order to improve performance management and the ability to strategically plan for the future.

4

Final Considerations

When considering how to improve workforce planning...

Align individual development with organizational needs and resourcing strategies

Better information on competencies at an individual level can improve workforce planning for managers, but also help employees guide their own professional growth. As the federal government looks to fill skill gaps and become more competitive with private sector jobs, individual development should factor into each agency's long-term human capital strategy.

Improve the accuracy of data to enable better decision making

Information on employee competencies that is up-to-date and thorough can help managers determine the capabilities of their workforce and make short- and long-term human capital decisions supported by data. Better data can help managers be more confident, but it can also empower individuals to determine their own strengths and areas for improvement.

Consider technological or process improvements to streamline data collection and analytics

The burden on managers or human resources staff can be minimized by automating the collection and regular updating of information on all employees. For instance, employees can use electronic tools to input information that managers can then review and utilize to create customized individual development plans or macro-level organizational workforce decisions. Technologies can also allow agencies to conduct workforce analytics with greater ease at any time, freeing agencies from reliance on periodic employee surveys that provide only a snapshot of current capabilities.

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Our Mission

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